



#4.5

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Docket Number (Optional)	Application Number
		5772.02	09/828,296
		Applicant(s) Paul J. CORNAY, et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  SHEET 1 OF 3		Filing Date	Group Art Unit
		April 6, 2001	1723

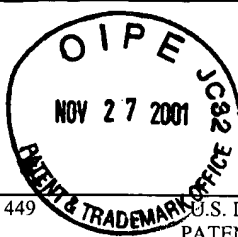
## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CC		680,270	08/13/01	Ohlsson			
CC		717,385	12/30/02	Gathmann			
CC		778,355	12/27/04	Freas			
CC		785,910	03/28/05	Nilsson			
CC		949,226	02/15/10	Goodman			
CC		949,227	02/15/10	Goodman			
CC		1,097,561	05/19/14	Resines			
CC		1,124,907	01/12/15	Jahn			
CC		1,190,829	07/11/16	Wendell			
CC		1,239,734	09/11/17	Sloan et al.			
CC		1,510,657	10/07/24	Coleman			
CC		1,564,665	12/08/25	Gates			
CC		1,742,096	12/31/29	Perrier			
CC		1,783,546	12/02/30	Petsche et al.			
CC		1,854,313	04/19/32	Petsche et al.			
CC		2,450,737	10/05/48	Rundquist			
CC		3,150,944	09/29/64	Nerad			
CC		3,623,656	11/30/71	Lavanchy			
CC		3,685,721	08/22/72	Kohama			
CC		3,837,491	09/24/74	Humiston et al.			
CC		4,073,431	02/14/78	Jäger			
CC		4,108,763	08/22/78	Clough			
CC		4,369,115	01/18/83	Bauer			
CC		4,617,010	10/14/86	Epper et al.			
CC		4,618,478	10/1986	McKinney			
CC		4,673,510	06/16/87	Janusch et al.			
CC		4,911,738	03/27/90	Schneider			

RECEIVED  
DEC 3 2001  
TC 1700

Cooley

30 Apr 2003



#4.5

FORM PTO-1449 (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  SHEET 2 OF 3	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Docket Number (Optional)  <b>5772.02</b>	Application Number  <b>09/828,296</b>
	Applicant(s)  <b>Paul J. CORNAY, et al.</b>			
	Filing Date  <b>April 6, 2001</b>		Group Art Unit  <b>1723</b>	

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CC		5,156,751	10/20/92	Miller			
CC		5,372,725	12/13/94	Halff et al.			
CC		5,425,883	06/20/95	Reid et al.			
CC		5,462,676	10/31/95	Pitts			
CC		5,500,120	03/19/96	Baker			
CC		5,688,377	11/18/97	McCutchen			
CC		5,688,399	11/18/97	Halff et al.			
CC		6,080,309	06/27/00	Reid et al.			

RECEIVED  
DEC 3 2001  
TC 7:00

**FOREIGN PATENT DOCUMENTS**

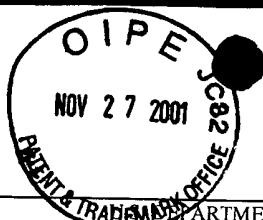
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
CC		18,147	09/12/07	Great Britain				
CC		269,193	04/07/27	Great Britain				
CC		189162	04/1964	Sweden				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

CC		CARR Separations, Inc.; CARR Powerfuge® Separation System, P6 Brochure; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge® Separation System, P12 Brochure; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge® Separation System, P18 Brochure; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge® Separation System, P24 Brochure; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge® Separation System, Pilot for Hazardous Areas Brochure; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge® Separation System Pilot Dimensions; at least 1/14/1997
CC		CARR Separations, Inc.; CARR Powerfuge™ Brochure "Breakthrough Centrifuge Technology"; at least 1/14/1997
CC		CARR Separations, Inc.; Chemical Processing Brochure; January 1998
CC		CARR Separations, Inc.; Sub-micron Classification and Recovery Brochure; at least 1/14/1997
CC		Sharples™ Maximizer™ XM; available as early as June 1997
CC		Alfa-Laval Centrifuges for the Chemical Process Industries

Cooley

30 Apr 2003



#4.5

FORM PTO-1449 (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  SHEET 3 OF 3	DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Docket Number (Optional)  <b>5772.02</b>	Application Number  <b>09/828,296</b>
	Applicant(s)  <b>Paul J. CORNAY, et al.</b>			
	Filing Date  <b>April 6, 2001</b>		Group Art Unit  <b>1723</b>	

CC	Alfa-Laval Waste Oil Recovery	
CC	Oiltools Solids Control Equipment	
CC	Alfa-Laval ALFAX Self-Cleaning Centrifuge Separators	
CC	TRW Brandt Decanting Centrifuges	
CC	Alfa-Laval "When to use a disk-stack centrifuge"	
CC	Michem's New RMS™ Model 3SMD Centrifuge	
CC	Alfa-Laval "Slop Oil Treatment Plant for Crude Oil Recovery"	
CC	Geosource Geolograph Pioneer Manual of Centrifuge Operation	
EXAMINER <b>Cooley</b>		DATE CONSIDERED <b>30 Apr 2003</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

RECEIVED  
DEC 3 2001  
TC 1700



Sheet 1 of 1

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> <b>(Modified) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> <b>5772.02</b>	<b>SERIAL NO.</b> <b>09/828,296</b>
	<b>APPLICANT:</b> <b>Paul J. Cornay</b>	
	<b>FILING DATE</b> <b>April 6, 2001</b>	<b>GROUP</b> <b>1723</b>

## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	Cooley	DATE CONSIDERED	30 Apr 2003
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			